

Express Mail No. EV 367778413 US
Serial No. 09/627,951

Amendments to the Specification:

Please replace the paragraph on page 15, lines 17-19 with the following amended paragraph:

Figures 6A-1 to 6D-2, entitled "Data Table for Matched Financial Transactions," illustrate sample data for matched financial transactions in an embodiment of the methods and systems for collateral matching and mark to market reconciliation.

Please replace the paragraph on page 15, lines 20-22 with the following amended paragraph:

Figures 7A and 7B, entitled "Data Table for Unmatched Financial Transactions," illustrates sample data for unmatched financial transactions in an embodiment of the methods and systems for collateral matching and mark to market reconciliation.

Please replace the paragraph on page 16, lines 1-3 with the following amended paragraph:

Figures 8A and 8B, entitled "Data Table for Expired Financial Transactions," illustrates sample data for expired financial transactions in an embodiment of the methods and systems for collateral matching and mark to market reconciliation.

Please replace the paragraph on page 16, lines 10-12 with the following amended paragraph:

Figures 11A to 11C, entitled "Sample Input Data Files - Three (3) Types of Input Formats," illustrates sample input data files in an embodiment of the methods and systems for collateral matching and mark to market reconciliation.

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Please replace the paragraph on page 16, lines 19-20 with the following amended paragraph:

Figures 14A and 14B-2, entitled "Tables of Data Fields," illustrate sample tables of data fields in an embodiment of the methods and systems for collateral matching and mark to market reconciliation.

Please replace the paragraph on page 26, lines 3-6 with the following amended paragraph:

Figures 6A-1 to 6D-2, entitled "Data Table for Matched Financial Transactions," illustrate sample data for matched financial transactions in an embodiment of the methods and systems for collateral matching and mark to market reconciliation. The present invention matches all transactions input by each bank to the other.

Please replace the paragraph on page 26, lines 7-13 with the following amended paragraph:

Figures 6A-1 and 6A-2 represents the matched transactions between Bank 1 and Bank 2. The table displays all data for both banks that represent the matching criteria. Once a financial transaction is matched, the two sides of the matched transaction are given a unique identifier known as the "Recon Matching ID" that remains for the life of the matched transaction. Figure 6B is a continuation of Figure 6A so that the totals are displayed. The totals include the number of records and the net sum of the mark to market values of matched trades.

Please replace the paragraph on page 26, lines 14-16 with the following amended paragraph:

Figures 6C-1 and 6C-2 shows similar matched trades between Bank 1 and Bank 3. Figures 6D-1 and 6D-2 is a continuation of 6C-1 and 6C-2 so that the totals

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are displayed, as in Figures 6B-1 and 6B-2. This particular example shows a net negative mark to market value from Bank 1 to Bank 3.

Please replace the paragraph on page 26, lines 17-22 with the following amended paragraph:

Figures 7A and 7B, entitled "Data Table for Unmatched Financial Transactions," depicts an unmatched financial transaction table representing two parties, Bank 1 and Bank 2. All transaction data is displayed so that Bank 1 can use this table as a worksheet when reconciling with Bank 2. All transactions are viewed from the point of view of Bank 1, although the system knows that the counter-party for each of the transactions represented is Bank 2.

Please replace the paragraph on page 26, line 23-page 27, line 3 with the following amended paragraph:

Figures 8A and 8B, entitled "Data Table for Expired Financial Transactions," illustrates sample data for financial transactions that have expired or matured. The table displays all expired transaction where Bank 1 is one party and Bank 2 is the counter-party. The table is divided by those transactions denominated in United States Dollars (USD) and those denoted by other currencies.

Please replace the paragraph on page 27, lines 20-25 with the following amended paragraph:

Figures 11A to 11C, entitled "Sample Input Data Files," illustrates three sample input data file formats in an embodiment of the methods and systems for collateral matching and mark to market reconciliation. The three formats include:

- Tab Delimited
- Fixed Format
- Tab Delimited with Headings.

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Please replace the paragraph on page 28, lines 17-19 with the following amended paragraph:

Figures 14A and to 14B-2, entitled "Tables of Data Fields," illustrate sample financial transaction data tables that specify the field names, the data type within the field, and the maximum data length of the field.